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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e Patent Application of

HARRINGTON et al.

Atty. Ref.: 3594-5

Serial No. 09/666,559

Group: 2164

Filed: September 21, 2000

Examiner:

For: PROCESS AND APPARATUS FOR CONDUCTING

AUCTIONS OVER ELECTRONIC NETWORKS

February 27, 2001

Assistant Commissioner for Patents Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with Rule 97, the undersigned attorney submits the documents listed on the attached form PTO-1449. A copy of each document is enclosed.

The Examiner is requested to initial the attached form PTO-1449 and to return a copy to the undersigned as an indication that the attached documents have been considered and made of record in this case.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

Robert W. Faris

Reg. No. 31,352

RWF:ejs 1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100 1

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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

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Serial No. 09/666,559

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For: PROCESS AND APPARATUS FOR CONDUCTING AUCTIONS

OVER ELECTRONIC NETWORKS

December 2, 2002

Assistant Commissioner for Patents Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with Rule 97, the undersigned attorney submits the documents listed on the attached form PTO-1449. A copy of the document is enclosed.

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Reg. No. 31,352

RWF:ejs

1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

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Date Considered

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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

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Serial No. 09/666,559

Group: 2164

Filed: September 21, 2000

Examiner:

For: PROCESS AND APPARATUS FOR CONDUCTING AUCTIONS

OVER ELECTRONIC NETWORKS

:November 15, 2002

Assistant Commissioner for Patents Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

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Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

Robert W. Faris

Reg. No. 31,352

RWF:ejs

1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714 Telephone: (703) 816-4000

Facsimile: (703) 816-4100

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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. Form PTO-FB-A820 (Also PTO-1449)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HARRINGTON et al.

Atty. Ref.: 3594-5

Serial No. 09/666,559

Group: 2164

Filed: September 21, 2000

Examiner:

For: PROCESS AND APPARATUS FOR CONDUCTING

AUCTIONS OVER ELECTRONIC NETWORKS

June 4, 2002

Assistant Commissioner for Patents Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants apprise that PTO that their US Patent No. 6,161,099 (which issued from the parent application from which this continuing application claims priority under 35 USC 120) is being asserted in a patent infringement litigation captioned as follows:

Muniauction, Inc. d/b/a Grant Street Group, Inc. v. Thomson Corporation t/d/b/a Thomson Financial and/or Thomson Financial Municipals Group, and I-Deal, LLC, United States District Court for the Western District of Pennsylvania (CA No. 01 1003).

A copy of the complaint and amended complaint as filed in the court is attached.

, HARRINGTON et al. Serial No. 09/666,559

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

Robert W. Faris

Reg. No. 31,352

RWF:ejs

1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

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Form PTO-FB-A820 (Also PTO-1449)